



U.S. Department of Health and Human Services
Assistant Secretary for Planning and Evaluation
Office of Disability, Aging and Long-Term Care Policy

**AN ESTIMATE OF THE
NUMBER OF PERSONS WITH
DEVELOPMENTAL
DISABILITIES RECEIVING
SUPPLEMENTAL SECURITY
INCOME BENEFITS AND THEIR
CHARACTERISTICS**

July 1990

Office of the Assistant Secretary for Planning and Evaluation

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This report was prepared under contract #HHS-100-88-0035 between HHS's Office of Social Services Policy (now DALTCP) and Mathematica Policy Research, Inc. For additional information about this subject, you can visit the DALTCP home page at http://aspe.hhs.gov/_/office_specific/daltcp.cfm or contact the office at HHS/ASPE/DALTCP, Room 424E, H.H. Humphrey Building, 200 Independence Avenue, S.W., Washington, D.C. 20201. The e-mail address is: webmaster.DALTCP@hhs.gov. The Project Officer was Robert Clark.

AN ESTIMATE OF THE NUMBER OF PERSONS WITH DEVELOPMENTAL DISABILITIES RECEIVING SUPPLEMENTAL SECURITY INCOME BENEFITS AND THEIR CHARACTERISTICS

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PREFACE

This study was prepared under Contract No. HHS-100-88-0035 with the Office of the Assistant Secretary for Planning and Evaluation, Department of Health and Human Services. The overall purpose of the contract, **The Project to Design a Survey of Persons with Developmental Disabilities**, was to develop a national survey design that would provide data on a nationally representative sample of persons with developmental disabilities, and which could be used to develop more effective program policies for providing assistance to such persons. **The Final Report of the Project to Design a Survey of Persons with Developmental Disabilities: Survey Goals, Justification, and Design**, may be obtained upon request from the Office of the Assistant Secretary for Planning and Evaluation (ASPE).

This study, **An Estimate of the Number of Persons with Developmental Disabilities Receiving Supplemental Security Incomes Benefits and Their Characteristics**, was one of a series of analyses of various national data sets which included persons with developmental disabilities that were used to help guide the national survey design project. The study was conducted by SysteMetrics/McGraw-Hill under subcontract to Mathematica Policy Research, which was the prime contractor. The Federal project officer was Robert Clark of ASPE, and the project director at Mathematica Policy Research was Craig Thornton. The author would like to thank both of them for their guidance and assistance on this study. Additional thanks are extended to Michael Staren and Satya Kochhar of the Office of Supplemental Security Income, Social Security Administration, for their generous assistance, and to Elizabeth Boggs for her very helpful comments on a previous draft of this paper.

1.0 OVERVIEW

Many children and adults with developmental disabilities receive financial assistance from the Supplemental Security Income (SSI) program. To qualify for SSI benefits, disabled persons under the age of 65 must meet two basic eligibility requirements: (1) they must be certified as disabled by the Social Security Administration; and (2) their income and assets must fall below SSI financial eligibility limits.¹ In 1990, a single disabled individual living independently was required to have "countable" income below \$386 per month and countable assets of less than \$2,000 in order to qualify for Federal SSI benefits.²

Although it is well known that many persons with developmental disabilities receive SSI benefits, specific estimates of the number of developmentally disabled SSI recipients have not been developed. Such estimates are important for projecting the costs of proposed changes in Federal assistance to persons with developmental disabilities, including changes in the Medicaid program, since Medicaid eligibility is closely linked to eligibility for SSI benefits. This paper provides an estimate of the number of persons with developmental disabilities receiving SSI benefits, based on SSI administrative records, and also presents data on certain characteristics of the developmentally disabled (DD) SSI population.

¹ Once an individual turns age 65, an applicant for SSI benefits no longer has to meet the disability requirement, only the financial eligibility requirements.

² SSI applicants can have gross income considerably above this level and still qualify for SSI benefits, since various income exclusions are allowed in determining "countable income," (including more than one-half of earned income). Also, in States which supplement Federal SSI benefits, higher income thresholds may apply.

2.0 DATA SOURCE

The data source for the study was the "10-percent disability sample file" of SSI recipients extracted from the Supplemental Security Record (SSR), which is the main SSI computerized administrative file. The SSI 10-percent file is constructed periodically by the Office of Research and Statistics of the Social Security Administration in order to provide cross-sectional estimates of the SSI caseload as well as data on the SSI program experience for a given group of recipients. The 10-percent file is an outgrowth of the SSI 1-percent file, which was originally begun in 1981. The Office of Research and Statistics' reason for constructing a 10-percent file in addition to a 1percent file was to enhance the analytical opportunities for describing the SSI caseload along a variety of dimensions, including the development of cross-State comparisons.

This paper was conducted under a contract by the Office of the Assistant Secretary for Planning and Evaluation, Department of Health and Human Services with Mathematica Policy Research, Inc., in which SysteMetrics/McGraw-Hill is participating as a subcontractor, to develop a National Survey of Mentally Retarded and Developmentally Disabled Persons in Community Settings. In this project, analyses are being conducted of various national data sets which include persons with developmental disabilities to help develop national estimates of the developmentally disabled population and to help guide the survey design effort.

For the study, the Office of the Assistant Secretary for Planning and Evaluation (ASPE) requested and received from the Social Security Administration a copy of the December 1987 10-percent disability sample file. The December 1987 file was the most recent 10-percent file constructed at the time of the request (spring 1989). The file contained 551,156 records of disabled individuals on the SSR administrative file. Of these, only a subset were actually receiving Federally-administered SSI payments in December 1987. The remaining records were either of persons who were still in the application process, persons who had applied for benefits but did not meet the eligibility criteria, persons whose SSI payments were on hold or temporarily suspended, or persons whose benefits were recently terminated. There were 288,054 records of recipients in "active payment status," approximately 9.8% of the 2,929,612 persons receiving Federally-administered SSI benefits on the basis of blindness or disability in December 1987.³

Attachment A presents a Record Description of the 10-percent disability sample file. Of greatest interest to this study was the Current Diagnostic Code, which indicated the primary disabling condition of the SSI beneficiary. As will be discussed later on, this variable was used to identify recipients whose primary diagnosis indicated a developmental condition. However, there were a number of problems with this data item which may limit the quality of the information presented in this study. For one, in many

³ Social Security Administration, Office of Research and Statistics. Social Security Bulletin, Annual Statistical Supplement, 1988, Table 9.A1.

records on the file, the Current Diagnostic Code was missing altogether. Of the 288,054 records of recipients in active payment status, 111,466 (38.7%) had missing Current Diagnostic Codes. It is also known that persons with missing diagnostic codes are more likely to be persons who have been receiving SSI payments for longer periods of time (i.e. codes are more likely to be present for recipients with more recent eligibility dates). Thus, a description of the SSI disabled caseload based on records of persons with non-missing Current Diagnostic Codes may not represent a true picture of the SSI caseload.

Second, among those records with Current Diagnostic Codes, data quality problems may exist. One problem relates to the fact that for a few years in the mid-1980s, SSA switched from using the International Classification of Diseases (ICD) four-digit coding system to a newly devised system of three-digit impairment codes. After a few years of experimenting with the impairment code system, however, SSA decided to switch back to the ICD-9 system. Although attempts have been made by SSA to recode the three-digit impairment codes for persons on the 10-percent file to ICD-9 codes, this recoding effort may have introduced inaccuracies into the data set. A second problem relates to the more generic process of assigning diagnostic classifications to SSI recipients by SSA staff. These classifications are made from a review of the medical documentation submitted to the Social Security Administration as part of the disability determination process, and inevitably some misclassification of diagnoses and conditions must occur during this process. Consequently, the estimates of persons with developmental disabilities receiving SSI benefits presented in this paper must be interpreted with caution.

3.0 ESTIMATE OF SSI DISABLED RECIPIENTS WITH DEVELOPMENTAL DISABILITIES

Who are persons with developmental disabilities? The most common definition is that used in the Developmental Disabilities Assistance and Bill of Rights Act Amendments of 1987, which specifies that a developmental disability is:

A severe, chronic disability of a person which:

- A. is attributable to a mental or physical impairment or a combination of mental or physical impairments;
- B. is manifested before the person attains age twenty-two;
- C. is likely to continue indefinitely;
- D. results in substantial functional limitations in three or more of the following areas of major life activity:
 - 1. self care
 - 2. receptive and expressive language
 - 3. learning
 - 4. mobility
 - 5. self-direction
 - 6. capacity for independent living and
 - 7. economic self-sufficiency; and
- E. reflects the person's need for a combination and sequence of special, interdisciplinary, or generic care, treatment or other services which are of lifelong or extended duration and are individually planned or coordinated.

In this study, an estimate of SSI recipients with developmental disabilities was developed through a sequential, iterative process. First, we assumed that all persons under the age of 22 in December 1987 who were receiving SSI payments were developmentally disabled. It is self-evident that all SSI recipients under age 22 have a disability with an age of onset prior to age 22. The main question is whether all children who qualify as disabled for SSI purposes also meet the "severity" and "permanency" criteria of the DD Act definition.

For children applying for SSI benefits, "the disability standard is a medically determinable physical or mental impairment of comparable severity to one that prevents an adult from engaging in substantial gainful employment."⁴ As for adults, the disability

⁴ Fox, H.B. and Greaney, A. A Preliminary Assessment of Disabled Children's Access to Supplemental Security Income and Medicaid Benefits. Fox Health Policy Consultants, 1988.

must have lasted, or be expected to last, at least 12 months or be expected to result in death. However, the criteria used to determine disability among SSI child applicants, since they are based on the same criteria used in determining whether an adult applicant is capable of gainful employment, have been criticized as not adequately reflecting age-appropriate disability limitations in children and which therefore result in inequities in the determination of disability among children. At the same time, there may be some children who qualify for SSI benefits who would not meet the DD Act's criteria for functional limitations in three or more areas of life activity. For example, a child who is blind, or who has a severe hearing loss, or who has a malignant neoplasm, may be functionally limited in less than three areas of life activity, but nonetheless qualify as disabled for SSI purposes.

Nonetheless, we made the simple assumption that all SSI recipients under age 22 could be classified as developmentally disabled. Of the SSI disabled population on the file, 14.9% were under age 22. Not all of these persons were receiving SSI benefits as "disabled children," since persons aged 18 and over may receive SSI benefits as a separate adult unit. Table 1 presents the distribution of the SSI disabled population under age 22 by primary disabling condition. Children with a diagnosis of mental retardation are clearly the dominant category, comprising 42.2% of the entire population, and 47.7% of all children with a valid diagnosis on the file. The next most common disabling conditions among SSI children were infantile cerebral palsy, hearing loss, congenital anomalies, and schizophrenic disorders. No other condition occurred in more than 2 percent of the population. Note, however, that if one combined all mental disorders (schizophrenic disorders, psychoses with origin in childhood, neurotic disorders, other organic psychotic conditions, and affective psychoses) into a single diagnostic classification, these children would total 22,130 individuals, about 5.2% of the SSI child population, becoming the third most prevalent diagnostic condition. Persons with mental disorders are often excluded from discussions of the developmentally disabled population, since *most* persons with severe mental disorders initially become symptomatic in adulthood, not childhood.

For adults, an iterative process was used to estimate the number of SSI recipients with developmental disabilities. Among adults, more records on the file were missing diagnoses. As shown in Table 2, 43.4% of SSI adults on the 10-percent file were missing Current Diagnostic Codes, while 56.6% had valid diagnosis codes. Our first step was to identify a number of specific diagnostic conditions which are universally accepted as indicative of a developmental condition. These diagnoses were:

- Mental retardation
- Infantile cerebral palsy
- Congenital anomalies excluding spina bifida and Down's syndrome
- Spina bifida
- Down's syndrome
- Psychoses with origin in childhood and
- Cystic fibrosis

Persons with these diagnostic conditions comprised 21.2% of all SSI adults on the file with valid diagnosis codes (Table 2). Persons with mental retardation comprised 90% of all persons with these diagnoses.

We knew that many other SSI adults with diagnoses other than those listed above were developmentally disabled, but could not be classified as such on the basis of diagnosis alone. For example, as discussed above, SSI adults with mental disorders may have had an age of onset prior to age 22 or subsequent to age 22. The same is true for persons with epilepsy, multiple sclerosis, neoplasms, traumatic brain injuries, and so on. Although the SSI Record Description includes an Age of Onset field, we were informed by SSA that data in this field did not accurately represent the true date of disability onset for most recipients. In most cases, the Age of Onset is the date on which the applicant was determined disabled for SSI purposes (i.e. the date on which documentation of disability was accepted), and often corresponds or post-dates the actual date of application for benefits. Thus, for this study, we assumed that any SSI recipient who originally applied for benefits prior to age 22 was likely to have a developmental disability.

Table 3 shows the percentage of SSI adults on the 10-percent file who originally applied for SSI benefits prior to age 22. Of those with one of the DD diagnoses discussed above, 37.2% had originally applied for benefits prior to age 22. However, it is interesting to note that the majority of adult SSI recipients with these diagnoses did not apply for benefits until their adult years (although many were undoubtedly already adults when the SSI program was enacted in 1974). Of SSI adults who did not have one of the DD diagnoses previously discussed, 5.9% had originally applied for SSI benefits prior to age 22. We added these recipients to our estimate of SSI adults with non-missing diagnoses who could be classified as having a developmental disability.

To this point, we have identified SSI adults as being developmentally disabled if either (1) they were indicated to have a specific DD diagnosis; or (2) they applied for SSI benefits prior to age 22. To this group, we added a final group: SSI adults who were receiving Title II (Social Security Disability) benefits as Adult Disabled Children (ADC). Persons receiving Adult Disabled Children benefits are persons who were disabled prior to age 22, and are the children of retired, disabled, or deceased Social Security beneficiaries. Table 4 shows that of those SSI adults with non-missing diagnoses not previously classified as developmentally disabled, another 0.9% were ADC recipients.

Table 5 summarizes our estimate of the percentage of SSI disabled adults who are developmentally disabled with this methodological approach. Among persons on the 10-percent file over the age of 21, 26.6% of adults were classified as developmentally disabled under one or more of the three criteria employed.

Table 6 summarizes our overall estimate of the number and percentage of all disabled SSI recipients who are developmentally disabled. For this estimate, we used SSA data on the actual number of persons receiving SSI benefits in December 1987, applying the percent of beneficiaries classified as developmentally disabled on the 10-

percent file (100% of recipients under age 22 and 26.6% of disabled recipients age 22 and over). Using this approach, we estimate that there were approximately 1,066,370 developmentally disabled persons receiving Federally-administered SSI benefits in December 1987, 36.4% of all SSI disabled beneficiaries.^{5,6} Within this total population, we estimate that approximately 63% were persons with a diagnosis of mental retardation (72% of adults and 48% of children) and 37% were persons with a developmental disability other than mental retardation.

This estimate of course assumes that the percentage of persons with missing diagnoses on the 10 percent file who are developmentally disabled is the same as those with valid diagnoses. We believe that this is a reasonably valid assumption. Note that if we apply only two of the three criteria which were used to identify developmentally disabled adults with valid diagnoses (the two criteria being; (1) applied for SSI benefits prior to age 22; and (2) were receiving Title II benefits as Adult Disabled Children), 24.4% of those with missing diagnoses would be classified as developmentally disabled on these two criteria alone (see Table 3 and Table 4). We believe that if it was possible to apply the third criterion to this group (a specific DD diagnosis), this percentage would increase somewhat, but would probably not be far different from the 26.6% estimate derived for SSI-disabled adults with valid diagnoses.

⁵ This estimate only includes SSI disabled beneficiaries who receive Federally-administered SSI/SSP payments. A small number of persons receive State supplementation payments only in States which have elected to administer their own State supplementation programs. According SSA data, there were approximately 48,457 blind and disabled persons receiving State supplementation payments only in these States in October 1989, about half of whom were in the State of Illinois, which has a very idiosyncratic State supplementation program. If we assume that 36.4% of these persons were also developmentally disabled, it would increase our total estimate of developmentally disabled persons receiving SSI and/or State supplementation payments in January 1990 by about 1.5%, or by about 17,600 persons.

⁶ It is also possible that some proportion of persons receiving SSI payments on the basis of age (over age 65), not disability, are also developmentally disabled. In March 1989, there were 1,427,045 aged SSI recipients, compared to 3,056,843 blind and disabled recipients. It is important to note, however, that when a disabled SSI beneficiary turns age 65, he or she is not re-classified as an aged beneficiary. Thus, aged SSI recipients are by and large persons who originally applied for SSI benefits after their 65th birthday. It is reasonable to expect that few persons with developmental disabilities would wait until their 65th birthday to apply for benefits, although if a disabled beneficiary for some reason lost their SSI benefits (e.g. by failing to appear at their annual re-determination) and then re-applied for benefits after turning 65, then it is likely they would be classified as "aged" rather than "disabled."

TABLE 1: Primary Disabling Conditions of SSI Recipients Under Age 22: December 1987		
	Number	Percent
Mental Retardation	180,550	42.2%
Missing Diagnoses	49,360	11.5%
Infantile Cerebral Palsy	25,810	6.0%
Hearing Loss	19,460	4.6%
Other Congenital Anomalies ¹	15,670	3.7%
Schizophrenic Disorders	9,940	2.3%
Epilepsy	6,490	1.5%
Spina Bifida	6,180	1.4%
Blindness and Low Vision	5,510	1.3%
Symptoms Concerning Nutrition, Metabolism and Development ²	5,300	1.2%
Hereditary Hemolytic Anemias	4,950	1.2%
Down's Syndrome	4,750	1.1%
Psychoses with Origin in Childhood	3,750	0.9%
Specific Delays in Development	3,320	0.8%
Asthma	3,170	0.7%
Muscular Dystrophy and Other Myopathies	2,980	0.7%
Neurotic Disorders	2,960	0.7%
Other Organic Psychotic Conditions	2,790	0.7%
Affective Psychoses	2,690	0.6%
All Other Diagnoses	68,380	16.0%
	427,650	100.0%
1. Excludes spina bifida and Down's Syndrome 2. Primarily anorexia and physical retardation		
SOURCE: SSI 10% Disability File, December 1987		

TABLE 2: SSI Disabled Recipients Over Age 21 with Diagnoses Indicating a Developmental Disability		
	Number	Percent
Total SSI Disabled Recipients Over Age 21	2,452,890 ¹	
Total With Missing Diagnosis	1,065,300	43.4%
Total with Valid Diagnosis	1,387,590	100.0%
DD Diagnoses		
Mental Retardation	264,850	19.1%
Infantile Cerebral Palsy	14,570	1.1%
Other Congenital Anomalies 2	10,260	0.8%
Down's Syndrome	1,910	0.1%
Spina Bifida	1,390	0.1%
Psychoses with Origin in Childhood	800	0.1%
Cystic Fibrosis	350	0.0%
Total DD	294,130	21.2%
Adults with Other (non-DD) Diagnosis	1,093,460	78.8%
1. Includes approximately 537,200 disabled SSI recipients over age 65. 2. Excludes Down's Syndrome and spina bifida		
SOURCE: SSI 10% Disability File, December 1987		

TABLE 3: Adult SSI Recipients Who Applied for SSI Prior to Age 22						
	Applied Prior to Age 22		Applied After Age 22		Total	
	Number	Percent	Number	Percent	Number	Percent
With Missing Diagnosis	148,870	14.0%	916,430	86.0%	1,065,300	100.0%
With "Non-DD" Diagnoses	65,010	5.9%	1,028,450	94.1%	1,093,460	100.0%
With DD Diagnoses	109,550	37.2%	184,580	62.8%	294,130	100.0%
TOTAL	323,430	13.2%	2,129,460	86.8%	2,452,890	100.0%

SOURCE: SSI 10% Disability File, December 1987.

TABLE 4: Adult SSI Recipients with Missing or Non-DD Diagnoses, Who Applied for SSI After Age 21, and Who Receive SSDI Adult Disabled Children (ADC) Benefits			
	Total	Number Who Are AFDC	Percent
Missing Diagnoses/Applied >21	916,430	110,680	12.1%
Non-DD Diagnoses/Applied >21	1,028,450	9,360	0.9%

SOURCE: SSI 10% Disability File, December 1987.

TABLE 5: Estimate of SSI Disabled Adults Over Age 22 with Valid Diagnoses Who Have Developmental Disabilities		
	Number	Percent
SSI Disabled Adults With DD Diagnosis	294,130	21.2%
SSI Disabled Adults With Other Diagnoses/Applied <22	65,010	4.7%
Other SSI Disabled Adults Who Are ADC	9,360	0.7%
Total DD Adults	368,500	26.6%
Non-DD Adults	1,019,090	73.4%
Total SSI Disabled Adults	1,387,590	100.0%

SOURCE: SSI 10% Disability File, December 1987

TABLE 6: Estimate of SSI Recipients with Developmental Disabilities: December 1987 and March 1989			
	December 1987		January 1990
	Percent	Number	
Recipients Under Age 22	100.0%	391,130	408,433
Disabled Adults Over Age 21	26.6%	675,240	713,804
Total	36.4%	1,066,370	1,122,237

SOURCE: SSI 10% Disability File, December 1987 and Social Security Bulletin, Vol. 53, Number 3, March 1990.

4.0 CHARACTERISTICS OF PERSONS WITH DEVELOPMENTAL DISABILITIES RECEIVING SSI BENEFITS

This section presents a descriptive analysis of persons with developmental disabilities receiving Federally-administered SSI benefits, based upon information available on the SSI 10-percent disability sample file. In this analysis, adult SSI recipients with missing diagnoses who meet the criteria of either (1) applying for SSI prior to age 22; or (2) also receiving Adult Disabled Children benefits under Title II, are classified as developmentally disabled and other persons with missing diagnoses are classified as "non-DD adults."

By SSI Group Classification

Table 7 presents the SSI group classification of SSI children, DD adults, and non-DD adults (hereafter referred to as the "analytical groups"). of interest is the fact that 32% of SSI children under age 22 are classified as disabled individuals rather than disabled children. In the SSI program, once a child reaches the age of 18, parents are no longer considered to be financially responsible for the care of their children, and parental income is no longer deemed available to the SSI recipient. Also, parental income is not deemed available when children are placed in out-of-home settings, such as Intermediate Care Facilities for the Mentally Retarded (ICFs-MR). Thus, it appears that approximately one-third of SSI children under age 22 are either between the ages of 18 and 21, or are living in out-of-home settings.

By Age

SSI recipients with developmental disabilities are considerably younger than other disabled SSI recipients, as shown in Table 8. However, SSI recipients under age 22, all of whom we defined as developmentally disabled, are older than the general child population. For example, while almost 19 percent of all children in the general population are under age 4, children under the age of 4 comprised less than 10 percent of all SSI disabled children. In contrast, children between 18 and 21 comprised over 36 percent of SSI disabled children, but only 19 percent of all children. In terms of prevalence rates, there were 2.7 SSI disabled children per 1,000 children in the general population in 1987; 4.2 per thousand between the ages of 4 and 10; 5.3 per thousand between the ages of 11 and 17; and 10.4 per 1,000 between the ages of 18 and 21.

The increase in prevalence rates with increasing age is due to two major factors: (1) it has often been difficult for very young children with disabilities to pass the Social

Security disability test;⁷ and (2) some children with developmental disabilities do not develop their disabilities into later in childhood (e.g. accident victims, adolescents with mental illness). The large increase in prevalence rates among children between the ages of 18 and 21 is also due to the fact that parental income and assets are no longer taken into account in determining the financial eligibility of SSI applicants once a child reaches the age of 18.

Almost 50 percent of all SSI adults persons identified as developmentally disabled on the SSI 10% file were between the ages of 22 and 30, and over 97 percent were under the age of 65. In contrast, only about 6 percent of non-DD adults were between the ages of 22 and 30 and over one-fourth were over the age of 65. Developmentally disabled adults comprised almost 75 percent of all SSI disabled recipients in the 22-30 age group, but less than 4 percent of SSI disabled recipients over age 65. This difference is largely due to the fact that non-DD adults usually enter the SSI program as a result of a disabling condition which began later in life. However, the data also suggest that persons with developmental disabilities will comprise an increasing proportion of the SSI disabled population in future years.

By Race

A significant percentage of developmentally disabled recipients on SSI are not white, although this is less true for DD recipients than non-DD recipients, as shown in Table 9. The fact that a higher percentage of DD adults are white than children is probably attributable to the fact that many white developmentally disabled children living in middle or upper income families are not eligible for SSI as children (due to deeming of parental income) but then become eligible for SSI on their own right once they turn 18 years of age.

By Sex

The developmentally disabled population on SSI differs significantly from the non-DD population on SSI with regard to gender. About 58% of SSI children, and about 52% of DD adults, are male, as shown in Table 10. This is partly attributable to the fact that there is a higher incidence of mental retardation among males than among females. In contrast, about two-thirds of the non-DD adult population is female.

⁷ The recent ruling of the United States Supreme Court in the case of Sullivan v. Zebley (110, S. Ct. 885 [1990]) may substantially increase the number of younger children who qualify for SSI benefits.

By Marital Status

As shown in Table 11, about 91 percent of DD adults on SSI are not married, while about 9 percent are married. Developmentally disabled adults on SSI are about half as likely to be married as non-DD adults on SSI.

By Living Arrangement

According to SSA data, less than half of all SSI recipients under age 22 live in their parents' household, as shown in Table 12. About 13 percent live in "another's household" which, in SSI terms, means that the recipient lives in a household which provides in-kind support (generally room and board) to the recipient.⁸ In these cases, the SSI payment level is reduced by one-third. About 36 percent of recipients under age 22 live in their "own household," which, however, can include a group home or foster care placement in which the household is not contributing in-kind support to the recipient. About 5 percent of SSI recipients under age 22 live in Medicaid certified institutions, which can include ICFs-MR, pediatric nursing homes, or hospitals.

Approximately 10 percent of DD adults are classified by SSA as living in another's household. This may give some indication of the percentage of developmentally disabled adults who still live with their parents. However, it is probably an underestimate since it only includes those adults who receive reduced SSI benefits because they do not contribute to their own room and board. About 6 percent of developmentally disabled adults on SSI live in Medicaid-certified facilities.⁹

By Receipt of State Supplementation Payments

Developmentally disabled adults on SSI are somewhat less likely than other SSI disabled recipients to receive State Supplementation Payments (SSP), as shown in Table 13. Among DD adults, 37.4% received SSP, compared to 44.1% of non-DD adults. Two possible reasons for this difference are: (1) non-DD adults are more likely to fall into special eligibility groups which qualify for SSP;¹⁰ and/or (2) developmentally

⁸ It is possible that many children classified as living in "another's household" are children eighteen years of age or over living with their parents. In these cases, parental income is not deemed, but the SSI payment may be reduced by one-third due to the provision of in-kind support by the parents.

⁹ Note that developmentally disabled persons living in institutions such as ICFs-MR are not eligible for SSI payments if they have countable income in excess of \$30 per month (\$25 per month in 1987). According to data collected by the Health Care Financing Administration, about 61% of ICF-MR recipients receive SSI payments, and 39% do not.

¹⁰ In their State Supplementation programs, States often establish special eligibility groups (e.g. residents of licensed board and care facilities) which qualify for supplementation payments.

disabled SSI recipients may disproportionately reside in States which do not have State Supplementation programs (see Section 5.0).¹¹

By SSI/SSP Payment Amounts

As shown in Table 14, DD adults received an average Federal SSI payment amount of \$220.86 in December 1987, compared to \$234.85 for non-DD adults. This difference may also be due to the facts that DD adults are more likely to receive a reduced Federal SSI benefit because they live in "another's household, or because they live in a Medicaid-certified institution, and only receive the SSI personal needs allowance of \$25 per month (see Table 12).

By Receipt of Unearned Income

About 60% of DD adults on SSI receive income from sources other than the SSI program; for the remaining 40%, Federal and State SSI/SSP payments are their sole source of income, as shown in Table 15.¹² The most common source of outside income received by DD adults is Social Security benefits received under Title II. These benefits encompass all types of Social Security benefits, including childhood survivor benefits, disability insurance benefits, Adult Disabled Children benefits, and retirement benefits (for persons over age 65). About the same percentage of DD adults on SSI also receive Title II benefits as non-DD adults on SSI.

SSI Group Classification	Analytical Group		
	Children (<22)	DD Adults	Non-DD Adults
Blind Individual	0.1%	2.3%	2.9%
Blind Spouse	0.0%	0.0%	0.1%
Blind Child	2.0%	0.1%	0.0%
Disabled Individual	31.9%	92.4%	92.2%
Disabled Spouse	0.2%	1.6%	4.8%
Disabled Child	65.0%	3.5%	0.0%
Total	100.0%	100.0%	100.0%

SOURCE: SSI 10% Disability File, December 1987.

¹¹ Note also that these data only represent those persons who reside in States in which State Supplementation Payments are Federally administered. Although in December 1987, there were 25 States which administered their own State Supplementation programs, persons in States with Federally-administered State supplementation accounted for 89% of all blind and disabled persons receiving State supplementation. Source: Social Security Bulletin, Annual Statistical Supplement, 1988, Table 9.A5.

¹² Sources of unearned income, as recorded on the 10-percent file, however, includes in-kind support from living in another's household and deemed income from parents or spouses.

TABLE 8: DD Analytical Group by Age			
Age Group	Analytical Group		
	Children (<22)	DD Adults	Non-DD Adults
0 - 3 Years	9.2%	0.0%	0.0%
4 - 10	24.5%	0.0%	0.0%
11 - 17	29.9%	0.0%	0.0%
18 - 21	36.4%	0.0%	0.0%
22 - 30	0.0%	48.7%	5.8%
31 - 40	0.0%	24.1%	13.7%
41 - 64	0.0%	24.4%	54.1%
65 and Over	0.0%	2.8%	26.4%
	100.0%	100.0%	100.0%

SOURCE: SSI 10% Disability File, December 1987.

TABLE 9: DD Analytical Group by Race			
Race	Children (<22)	DD Adults	Non-DD Adults
White	56.0%	63.6%	52.7%
Black	27.3%	23.3%	29.0%
Other	8.7%	3.7%	5.2%
Not Reported	8.0%	9.4%	13.1%
Total	100.0%	100.0%	100.0%

SOURCE: SSI 10% Disability File, December 1987.

TABLE 10: Analytical Groups by Sex			
	Children (<22)	DD Adults	Non-DD Adults
Male	57.6%	51.5%	33.9%
Female	42.4%	48.5%	66.1%
Total	100.0%	100.0%	100.0%

SOURCE: SSI 10% Disability File, December 1987.

TABLE 11: Analytical Groups by Marital Status			
	Children (<22)	DD Adults	Non-DD Adults
Married	1.3%	8.7%	17.1%
Single, Widowed or Divorced	98.7%	91.3%	82.9%
Total	100.0%	100.0%	100.0%

SOURCE: SSI 10% Disability File, December 1987.

TABLE 12: DD Analytical Group by Living Arrangement			
Living Arrangement	Children (<22)	DD Adults	Non-DD Adults
Own Household	36.0%	84.4%	92.9%
Another's Household	12.5%	9.6%	3.3%
Parent's Household	46.3%	0.0%	0.0%
Medical Facility	5.2%	6.0%	3.8%
Total	100.0%	100.0%	100.0%

SOURCE: SSI 10% Disability File, December 1987.

TABLE 13: Analytical Groups by Receipt of State Supplementation Payments¹			
	Children (<22)	DD Adults	Non-DD Adults
Receives Federal SSI Only	64.1%	62.6%	55.8%
Receives Federal SSI Plus State Supplementation	35.1%	32.6%	36.6%
Receives State Supplementation Only	0.8%	4.8%	7.5%
Total	100.0%	100.0%	100.0%
1. Only includes persons receiving Federally-administered State supplementation payments.			
SOURCE: SSI 10% Disability File, December 1987.			

TABLE 14: Analytical Groups by Average SSI/SSP Payment Amounts¹			
	Children (<22)	DD Adults	Non-DD Adults
Average Federal SSI Payment Level	\$291.93	\$220.86	\$234.85
Average State Supplementation Payment Level	\$80.18	\$119.06	\$121.75
1. Only includes persons receiving Federally-administered State supplementation payments.			
SOURCE: SSI 10% Disability File, December 1987.			

TABLE 15: Analytical Group by Receipt of Unearned Income			
	Children (<22)	DD Adults	Non-DD Adults
Receives Title II Benefits	11.5%	46.6%	47.5%
Receives Other Unearned Income ¹	38.9%	13.2%	15.3%
No Unearned Income	49.6%	40.2%	37.2%
Total	100.0%	100.0%	100.0%
1. Includes deemed income from spouses or parents, or in-kind support received in another person's household.			
SOURCE: SSI 10% Disability File, December 1987.			

5.0 STATE-LEVEL ESTIMATES OF PERSONS WITH DEVELOPMENTAL DISABILITIES RECEIVING SSI BENEFITS

One objective of conducting an analysis of the SSI 10-percent disability file was to investigate the extent to which SSI data may suggest cross-State differences in the prevalence of developmental disabilities. These data are important to the Federal policy development process in that the Federal allocation of resources for services to persons with developmental disabilities should reflect differences in need across States. If the prevalence of developmental disabilities is higher in some States than in others, then the allocation of Federal resources should reflect such differences.

However, the SSI 10-percent disability file is an imperfect data source for examining cross-State differences in the prevalence of developmental disabilities, for the following reasons:

- The likelihood of a developmentally disabled person receiving SSI/SSP benefits is to some extent related to the benefit level established by States under their State supplementation programs. However, as shown in Table 14, only about 4.8% of developmentally disabled adults receive only State supplementation payments (because their countable incomes fall "above the Federal benefit level but below the State supplementation level).¹³
- Among disabled children, eligibility for SSI benefits will also vary across States depending upon overall rates of poverty in a State. Only disabled children under age 18 in relatively poor families qualify for SSI benefits due to the deeming of parental income and assets. Thus, in poorer States, one would expect to see a higher rate of SSI child recipients than in wealthier States.
- Among all persons with developmental disabilities who are potentially eligible for SSI benefits (persons who would be eligible if they applied), participation rates may vary considerably across States. Differences in participation rates across States may be due to differences in public awareness about the program, differences among State agencies (e.g. State Mental Retardation and Mental Health agencies) in helping persons apply for SSI benefits, differences in administrative practices among local SSA offices and Disability Determination Services (DDS) offices, differences in access to points of application (e.g. urban/rural differences), and so on. Among elderly persons who are potentially

¹³ Disabled persons in California account for almost two-thirds of all disabled persons who only receive State supplementation payments. California has by far the highest SSI supplementation level of any State. In 1987, the State supplementation level in California for a disabled individual living independently was \$627 per month, almost twice the 1987 Federal SSI benefit level of \$340 per month.

eligible for SSI benefits, there is some evidence that only about 50% actually participate in the program. No similar research has been conducted with regard to rates of SSI program participation among potentially eligible disabled persons.

- Since the SSI 10-percent file is a sample of all disabled persons in each State, differences in the estimated number of developmentally disabled SSI recipients may be attributable to sampling error and/or differences in data quality across States (e.g. number of disabled recipients on the file with missing diagnosis codes). In this regard, the percentage of disabled SSI recipients on the file with missing diagnoses ranged from 19.3 percent in Nevada to 48.1 percent in New York. The number of individual cases on the 10-percent file ranged from 185 in Wyoming to 45,895 in California. In all States, the number of cases on the file was between 9 and 11 percent of the reported total number of blind and disabled SSI beneficiaries in December 1987.

Table 16 presents State-level data on the estimated percent of disabled SSI recipients who are developmentally disabled, and the rate of DD SSI recipients per 1,000 State population. The percent of all disabled SSI recipients classified as developmentally disabled ranged from 26.4 percent in California to 52.7 percent in Nebraska. The rate of DD SSI recipients per 1,000 State population also varied considerably across States, from a low of 1.7 recipients per thousand in Wyoming to a high of 9.5 recipients per thousand in Mississippi, more than a five-fold difference. The highest rates occurred in the southeastern States, with the exception of Florida. The lowest rates occurred in Nevada, Utah, Wyoming, Minnesota, New Hampshire, Connecticut and Alaska.

TABLE 16				
	Total Blind & Disabled SSI Recipients December 1987	Percent with Developmental Disabilities*	Number with Developmental Disabilities	DD SSI Recipients Per 1,000 State Population
United States	2,929,176	36.7%	1,073,680	4.4
Mississippi	65,846	37.8	24,890	9.5
Kentucky	72,090	40.7	29,341	7.9
Louisiana	83,780	41.6	34,852	7.8
West Virginia	34,259	43.2	14,800	7.8
Alabama	75,173	37.4	28,115	6.9
Tennessee	86,123	37.8	32,554	6.7
Arizona	42,531	37.3	15,864	6.6
South Carolina	56,233	38.5	21,650	6.3
Georgia	99,248	37.3	37,020	5.9
Dist. Columbia	12,873	27.5	3,540	5.7
Maine	15,251	41.8	6,375	5.4
Wisconsin	56,584	45.3	25,633	5.3
North Carolina	90,056	37.7	33,951	5.3
Vermont	6,741	39.4	2,656	4.8
Missouri	55,976	43.0	24,070	4.7
Michigan	101,709	42.1	42,819	4.7
New York	262,474	31.5	82,679	4.6
New Mexico	18,697	36.4	6,806	4.5
Delaware	5,911	49.1	2,902	4.5
Ohio	114,957	41.6	47,822	4.4
California	464,590	26.4	122,652	4.4
Rhode Island	11,324	37.8	4,280	4.3
South Dakota	6,151	49.7	3,057	4.3
Pennsylvania	129,972	39.0	50,689	4.2
Massachusetts	64,909	37.3	24,211	4.1
Iowa	21,596	53.2	11,489	4.1
Virginia	58,616	39.5	23,153	3.9
Oklahoma	36,776	34.8	12,798	3.9
Montana	6,625	45.9	3,041	3.8
Indiana	42,390	48.6	20,602	3.7
Texas	150,308	39.9	59,973	3.6
Nebraska	10,679	52.7	5,628	3.5
Idaho	6,998	49.7	3,478	3.5
Illinois	122,642	32.9	40,349	3.5
New Jersey	67,677	39.3	26,597	3.5
Maryland	40,774	38.3	15,616	3.4
Florida	117,520	34.5	40,544	3.4
North Dakota	4,726	47.5	2,245	3.3
Oregon	21,258	41.6	8,843	3.2
Kansas	16,968	47.2	8,009	3.2
Washington	41,439	35.1	14,545	3.2
Colorado	23,740	41.7	9,900	3.0
Arkansas	26,444	38.0	10,049	3.0
Connecticut	22,326	39.2	8,752	2.7
Hawaii	7,140	40.7	2,906	2.7

TABLE 16 (continued)				
	Total Blind & Disabled SSI Recipients December 1987	Percent with Developmental Disabilities*	Number with Developmental Disabilities	DD SSI Recipients Per 1,000 State Population
Minnesota	26,055	43.1	11,230	2.6
Utah	8,159	49.6	4,047	2.4
New Hampshire	4,805	52.4	2,518	2.4
Nevada	5,296	41.9	2,219	2.2
Alaska	2,815	38.0	1,070	2.0
Wyoming	1,946	43.8	852	1.7
* From SSI 10-percent disability sample file, December 1987.				

ATTACHMENT A

SSI RECORD DESCRIPTION					
FILE NAME				DATE	PAGE
10% Disability Sample File				12/87	1 of 6
RECORD NAME			SPECIFICATION NUMBER		
LOCATION	FIELD NAME/REMARKS	MNEMONIC	SIZE		TYPE
			BYTES	DEC.	
1	HOUSE UNDER NUMBER	HUN	9		9
10	SOCIAL SECURITY NUMBER	PAN	9		9
19	TRANSACTION CODE (TYPE OF ACTION)	TOA	2		X
21	MASTER FILE TYPE	MFT	2		X
23	NAME OF INDIVIDUAL	APLNAM	30		X
	FIRST NAME		10		X
	MIDDLE NAME		1		X
	LAST NAME		19		X
53	APPLICANT'S ADDRESS (5 X 22)	AA-ADDR	110		X
163	APPLICANT'S ZIP CODE	AA-ZIP	5		X
168	RESIDENCE STATE AND COUNTY CODE AND DO CODE	PDSCC	6		X
174	SEX	SEX	1		X
175	RACE	RACE	1		X
176	MARITAL STATUS [C]	MSM	1		X
177	CENTURY OF BIRTH	DOBCEN	1		9
178	DATE OF BIRTH (YYMM)	BIRTHDTE	4		9
182	DATE OF DEATH (YYMM)	DTH-PD	4		9
186	APPLICATION OF DATE (YYMM)	APLDTE	4		9
190	RECORD ESTABLISHMENT DATE (YYMM)	EST-PD	4		9
194	DATE OF CURRENT ELIGIBILITY (YYMM)	ELG-RD	4		9
198	DATE OF ONSET (YYMM)	DISDTEON	4		9
202	DISABILITY PAYMENT CODE	DISPAYCDE	1		X
203	DENIAL CODE	DENCDE	3		X
206	DATE OF DENIAL (YYMM)	DENDTE	4		9
210	APPEALS CODE	PL-CDE	1		X
211	APPEALS DATE	PL-DTE	4		9
215	DRUG ADDICT/ALCOHOLIC IDENTIFICATION [C]	DRUGM	1		X
216	ALCOHOLIC/DRUG ADDICT TREATMENT STATUS	DRUG-TREAT	1		X
217	STUDENT INDICATOR [C]	STUDM	1		X
218	MILITARY SERVICE INDICATOR	MILITARY	1		X
219	HEAD OF HOUSEHOLD INDICATOR [C]	HOHM	1		X
220	MEDICAID ELIGIBILITY CODE [C]	MEDIC	1		X
221	ALIEN REFUGEE INDICATOR	ALIEN	4		9
222	ALIEN RELATIVE DATE (YYMM)	ALIEN-RD	1		9
226	PAYMENT INDICATOR	PMT-IND	1		X
227	MIL INDICATOR	MILI	1		X
228	ESSENTIAL PERSONS INDICATOR	ESPER	1		X
229	STATE OR SII WELFARE INDICATOR [C]	WELF	1		X
230	RESOURCE CODE - HOUSE	RESHOU	1		X
231	RESOURCE CODE - VEHICLE	RESCAR	1		X
232	RESOURCE CODE - INSURANCE	RESINS	1		X
233	RESOURCE CODE - PROPERTY	RESINC	1		X
234	RESOURCE CODE - OTHER	RESOTH	1		X
FIELD TYPE CODES		A=ALPHABETIC	S9=SIGNED NUMERIC	C1=BINARY	
		X=ALPHANUMERIC	9=UNSIGNED NUMERIC	C3=PACKED DECIMAL	
FORM SSA-3660 (9-77) REPLACES CO2048 WHICH MAY BE USED UNTIL SUPPLY IS EXHAUSTED					

SSI RECORD DESCRIPTION					
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10% Disability Sample File				12/87	1 of 6
RECORD NAME			SPECIFICATION NUMBER		
LOCATION	FIELD NAME/REMARKS	MNEMONIC	SIZE		TYPE
			BYTES	DEC.	
235	TYPE OF PAYEE CODE	REPPAYTYP	3		X
238	GUARDIAN/COMPETENCY CODE	REPGC	1		X
239	CUSTODY CODE	REPCUS	3		X
242	PAYEE'S ZIP CODE (USED ONLY IF NO ENTRY IN AA-ZIP)	PDZIP	5		X
247	DIRECT DEPOSIT PAYMENT CODE	DDPC	1		X
248	LIVING ARRANGEMENTS CODE [C]	LIVF	1		X
249	FEDERAL MONEY AMOUNT (\$ ONLY)	FEDPMT	5		9
254	STATE SUPPLEMENTATION AMOUNT (\$ ONLY)	STATPMT	5		9
259	PAYMENT STATE CODE	PAYSC	2		9
261	ADVANCE PAYMENT AMOUNT (\$ ONLY)	ADVPAY	5		9
266	PAYMENT STATUS CODE [C]	PSTAT	3		X
269	OPTIONAL STATE SUPPLEMENTATION CODE [C]	OSCD	1		X
270	STATE & COUNTY CODE FOR SUPPLEMENTATION [C]	STSUPGP	5		9
275	STATE CONCURRENT ELIGIBILITY INDICATOR [C]	STCONCATM	1		X
276	STATE OPTIONAL PAYMENT CODE [C]	STOPACM	1		X
277	DEEMED INCOME AMOUNT (CURRENT QUARTER) [C]	DEEMAMT-Q	5		9
282	CURRENT COMPOSITION CODE [C]	CUR-COMP	1		X
283	CONDITIONAL PAYMENT INDICATOR [C]	CONDPAY	1		X
284	CHARGEABLE EARNED INCOME AMOUNT (\$ ONLY) [C]	EINCM	4		9
288	CHARGEABLE UNEARNED INCOME AMOUNT (\$ ONLY) [C]	UINCM	4		9
292	FEDERAL ASSISTANCE AMOUNT (\$ ONLY) [C]	FEDAMT	3		9
295	CURRENT AMOUNT OF STATE SUPP. (\$ ONLY) [C]	SUPAMT	3		9
298	MANDATORY SUPPLEMENTATION AMOUNT (\$ ONLY) [C]	MINL	3		9
301	STATE & COUNTY CONVERSION CODE	STCOCNV	5		9
	---- CURRENT EARNED INCOME ---- occurs 6 times for types S, W, C, D, T, N respectively. Multiple occurrences of any single type are summed into a single occurrence.		72		A/N
306	EARNED INCOME TYPE	IETYP	1		X
307	EARNED INCOME AMOUNT (\$\$\$\$. cc)	IEAMT	6		9
313	EARNED INCOME FREQUENCY	IEFRQ	1		X
314	EARNED INCOME START DATE (YYMM)	IESTART-RD	4		9
318-377	REMAINING 5 OCCURRENCES – EARNED INCOME		60		A/N
FIELD TYPE CODES		A=ALPHABETIC	S9=SIGNED NUMERIC	C1=BINARY	
		X=ALPHANUMERIC	9=UNSIGNED NUMERIC	C3=PACKED DECIMAL	
FORM SSA-3660 (9-77)		REPLACES CO2048 WHICH MAY BE USED UNTIL SUPPLY IS EXHAUSTED			

SSI RECORD DESCRIPTION					
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RECORD NAME			SPECIFICATION NUMBER		
LOCATION	FIELD NAME/REMARKS	MNEMONIC	SIZE		TYPE
			BYTES	DEC.	
	---- CURRENT EARNED INCOME ---- occurs 6 times for first six types of unearned types encountered. If more than 6 types, the 6th occurrence is used to summarize the 6th through nth type.		72		A/N
378	UNEARNED INCOME TYPE	IUETYP	1		X
379	UNEARNED INCOME START DATE (YYMM)	IUESTART-RD	4		9
383	UNEARNED INCOME AMOUNT (\$\$\$\$.cc)	IUEAMT	6		9
389	UNEARNED INCOME FREQUENCY	IUEFRQ	1		X
390-449	REMAINING 5 OCCURRENCES – UNEARNED INCOME		60		A/N
450	UNEARNED INCOME CLAIM OR ID-NUMBER (Type 'A' Only)	IUEIDNO-A	12		X
462	UNEARNED INCOME CLAIM OR ID-NUMBER (Type 'H' Only)	IUEIDNO-H	12		X
474	DATE OF SELECTION (YYMM)	*SEL-DATE	4		9
478	FOOD STAMP INTERVIEW DATE (YYMM)	FS- INTERVIEW- RD	4		9
482	FOOD STAMP RECIPIENT	FS- RECIPIENT	1		X
483	FOOD STAMP REQUEST	FS-REQUEST	1		X
484	VETERANS AID & ATTENDANCE HOUSEBOUND CODE	VA-AID	1		X
485	DIARY FOLLOWUP INDICATOR (LAST 7 OCCURRENCES)	FOLUP	7		X
492	FILLER	---	4		X
496	OVERPAYMENT – UNVERIFIED	*RW-C-	5		9
501	FOREIGN LANGUAGE INDICATOR	FOR-LANG- NTC	1		X
502	NO. OF PAYMENTS	NOP-9	2		9
504	CDI – MEDICAL ISSUE	CDI-MED	1		X
505	CDI – SUBSTANTIAL GAINFUL ACTIVITY	CDI-SGA	1		X
506	CDI – MEDICAL ISSUE RELATIVE DATE (YYMM)	CDI-MED-RD	4		9
510	CDI – SUBSTANTIAL GAINFUL ACTIVITY RELATIVE	CDI-SGA-RD	4		9
514	ENDING DATE OF EXTENDED TRAIL WORK PERIOD (YYMM)	EXTWPEND- RD	4		9
518	ELIGIBILITY STATUS EFFECTIVE DATE (YYMM)	MEDSERV-RD	4		9
522	MEDICAL AND SOCIAL ELIGIBILITY CODE	MEDSERV- CDE	1		X
523	MEDICAL AND SOCIAL SERVICES INCOME TEST [C]	MEDTEST	1		X
FIELD TYPE CODES		A=ALPHABETIC	S9=SIGNED NUMERIC	C1=BINARY	
		X=ALPHANUMERIC	9=UNSIGNED NUMERIC	C3=PACKED DECIMAL	
FORM SSA-3660 (9-77)		REPLACES CO2048 WHICH MAY BE USED UNTIL SUPPLY IS EXHAUSTED			

SSI RECORD DESCRIPTION					
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RECORD NAME			SPECIFICATION NUMBER		
LOCATION	FIELD NAME/REMARKS	MNEMONIC	SIZE		TYPE
			BYTES	DEC.	
524	DIARY CODES (LAST 7 OCCURRENCES)	DIACDE	2		X
538	VOCATIONAL REHABILITATION CODE	VR-CODE	1		X
539	TRIAL WORK PERIOD CODE	TWP-CDE	1		X
540	TRIAL WORK PERIOD MONTHS (OCCURS 9 TIMES)	TWP-WRK-RD	4		9
576	CASE CHARACTERISTICS (OCCURS 10 TIMES)	CCHAR	4		X
616	SPECIAL INDICATOR FIELD (Will contain an 'X' or a value specified by the user)	*SPEC-ID	1		X
617	ALIEN COUNTRY	ALIEN-CNTRY	2		X
619	ALIEN SPONSOR	ALIEN-SPON	1		X
620	SUBSTANTIAL MEDICAL ACTIVITY START DATE INDICATOR	SGAMED-SD	1		X
621	WINDFALL END DATE (YYMM)	WEND-RD	4		9
625	WINDFALL INDICATOR	WIN	1		X
626	WINDFALL FLAG	WIN-INTRFCE	1		X
627	WINDFALL START DATE (YYMM)	WSTRT-RD	4		9
631	DIARY DATES (YYMM) (LAST 7 OCCURRENCES)	DIADTE	4		9
659	SPECIAL NEEDS CODE	SPECND	1		X
660	RECORD IDENTIFICATION CODE	RIC	1		X
661	FORCED PAYMENT CODE	FORCE-PAY	1		X
662	EARLIEST COMPUTATION DATE (YYMM)	START-RD	4		9
666	NO. OF REDETERMINATION ENTRIES	RED-NOE	2		9
668	REDETERMINATION LOW PROBABILITY	RED-LOWPROB	1		X
669	" PROFILE	PROFILE	1		X
670	" TYPE	REDTYPE	1		X
671	" INITIATION DATE (YYMM)	RED-INIT-RD	4		9
675	" COMPLETION DATE (YYMM)	RED-COMPL-RD	4		9
679	" PROFILE SOURCE	PROF-SRCE	2		X
681	STATE REPORTING DEATH	SSD	2		X
683	INTERFACE INCOME RECOVERY	IIR	1		X
684	VETERANS ADM. INC. RECOVERY	IIRVA	1		X
685	CIVIL SERVICE INC. RECOVERY	IIRCS	1		X
686	8080 DATE (YYMM)	DT-8080	4		9
690	WINDFALL NON-COUNTABLE INCOME	WNCI	5		9
695	DATE CLAIM FILED (YYMM)	DCF	4		9
699	PRIOR SGA IND. FOR RMA	SGAMED-RMA	1		X
700	PRIMARY DISABILITY DIAG. CODE	DIB-DIG	4		X
704	MEDICAL DIARY REASON	DIB-MDR	1		X
705	PERMANENT DISABILITY INDICATORY	DIB-DPM	1		X
706	DISTRICT OFFICE CODE	DO	3		X
FIELD TYPE CODES		A=ALPHABETIC	S9=SIGNED NUMERIC	C1=BINARY	
		X=ALPHANUMERIC	9=UNSIGNED NUMERIC	C3=PACKED DECIMAL	
FORM SSA-3660 (9-77) REPLACES CO2048 WHICH MAY BE USED UNTIL SUPPLY IS EXHAUSTED					

SSI RECORD DESCRIPTION					
FILE NAME				DATE	PAGE
10% Disability Sample File				12/87	1 of 6
RECORD NAME			SPECIFICATION NUMBER		
LOCATION	FIELD NAME/REMARKS	MNEMONIC	SIZE		TYPE
			BYTES	DEC.	
709	AMOUNT UNRESOLVED OVERPAYMENT	UNRESOLVED	5		9
714	EXCESS DECISIONS ON OVERPAYMENT	EXCESDEC	5		9
719	OVERPAYMENT BALANCE	OUTSTAND	4		9
723	OVERPAYMENT RATE OF RECOVERY	RATEREC	3		9
726	AMOUNT OVERPAYMENT COLLECTED	OP-COLL	5		9
731	TOTAL AMOUNT OVERPAYMENT DETECTED [C]	OPDET	5		9
736	UNNEGOTIATED CHECK INDICATOR	UCI	1		X
737	OVERPAYMENT - CANCELLATION	*RW-Z	5		9
742	FEDERAL RECOVERY - REGULAR CHECK	*FEDREC-R	5		9
747	STATE RECOVERY - REGULAR CHECK	*STATREC-R	5		9
752	FEDERAL RECOVERY - OTHER CHECK	*FEDREC-0	5		9
757	STATE RECOVERY - OTHER CHECK	*STATREC-0	5		9
762	OVERPAYMENT - REFUND	*RW-BHRT	5		9
767	OVERPAYMENT - ADJUSTMENT	*RW-D	5		9
772	OVERPAYMENT - INSTALLMENT	*RW-G	5		9
777	OVERPAYMENT - TITLE II	*RW-K	5		9
782	OVERPAYMENT - UNCOLLECTABLE	*RW-N	5		9
787	OVERPAYMENT - WAIVER	*RW-W	5		9
792	OVERPAYMENT - DO	*RW-P	5		9
797	OVERPAYMENT - PENALTY	*RW-PT	5		9
802	OVERPAYMENT - PENALTY NUMBER	*RW-PT-NOE	2		9
804	BUDGET MONTH FLAG [C]	BMF	1		X
805	LIVING ARRANGEMENTS CODE (CURRENT MONTH) [C]	LIVF-1	1		X
806	LIVING ARRANGEMENTS CODE (PRIOR MONTH) [C]	LIVF-2	1		X
807	OPTIONAL STATE SUPP CODE (CURRENT MONTH) [C]	OSCD-1	1		X
808	OPTIONAL STATE SUPP CODE (PRIOR MONTH) [C]	OSCD-2	1		X
809	FEDERAL COUNTABLE INCOME [C]	FCI	5		9
814	FEDERAL COUNTABLE INCOME (CURRENT MONTH) [C]	FCI-1	5		9
819	FEDERAL COUNTABLE INCOME (PRIOR MONTH) [C]	FCI-2	5		9
824	FEDERAL ELIGIBILITY CODE [C]	FEC	1		X
825	STATE ELIGIBILITY CODE [C]	SEC	1		X
826	MANDATORY ELIGIBILITY CODE [C]	MEC	1		X
827	PRORATION FACTOR [C]	PROFAC	2		9
829	SPLIT DEEMING INDICATOR [C]	DEEMCD	1		X
830	WINDFALL OFFSET FEDERAL AMOUNT [C]	WIN-FED	3		9
833	WINDFALL OFFSET STATE AMOUNT [C]	WIN-STAT	3		9
836	IRS SAVINGS INTERFACE FLAG	IRS-INTRFCE	1		X
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SSI RECORD DESCRIPTION					
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10% Disability Sample File				12/87	1 of 6
RECORD NAME			SPECIFICATION NUMBER		
LOCATION	FIELD NAME/REMARKS	MNEMONIC	SIZE		TYPE
			BYTES	DEC.	
837	IRS INDICATOR CODE	IRSIND	1		X
838	YEAR OF IRS INCOME	IRSYR	2		9
840	IRS SELECTION DATE (YYMM)	IRSSD	4		9
844	APPLICATION TYPE	AP-TYPE	1		X
845	UNEARNED TYPE 'A'/FREQUENCY 'R' INDICATOR (R/SPACE)	*RFRQ	1		X
846	NEW AWARD INDICATOR (Y/N)	*NEWARD	1		X
847-850	CURRENT DIAGNOSTIC CODE	*NEWARD	4		9
851	FILE INDICATOR CODE [&]	*FINDC	1		X
852	FILLER	---	1		X
	* = NOT AN SSR DATA FIELD C = COMPUTATIONAL FIELDS & = 'X' CURRENT BASE FILE DIAGNOSTIC CODE 'A' 3/86 BASE FILE DIAGNOSTIC CODE 'E' 1986 831 FILE DIAGNOSTIC CODE 'F' 12/86 BASE FILE DIAGNOSTIC CODE 'G' 6/87 BASE FILE DIAGNOSTIC CODE 'H' 1984 831 FILE DIAGNOSTIC CODE 'I' 1985 831 FILE DIAGNOSTIC CODE 'J' CURRENT MBR FILE DIAGNOSTIC CODE 'K' 12/87 BASE FILE DIAGNOSTIC CODE 'L' 6/88 BASE FILE DIAGNOSTIC CODE 'M' CURRENT MBR FILE DIAGNOSTIC CODE				
FIELD TYPE CODES		A=ALPHABETIC	S9=SIGNED NUMERIC	C1=BINARY	
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FORM SSA-3660 (9-77)		REPLACES CO2048 WHICH MAY BE USED UNTIL SUPPLY IS EXHAUSTED			

To obtain a printed copy of this report, send the full report title and your mailing information to:

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Office of Disability, Aging and Long-Term Care Policy
Room 424E, H.H. Humphrey Building
200 Independence Avenue, S.W.
Washington, D.C. 20201
FAX: 202-401-7733
Email: webmaster.DALTCP@hhs.gov

RETURN TO:

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[\[http://aspe.hhs.gov/office_specific/daltcp.cfm\]](http://aspe.hhs.gov/office_specific/daltcp.cfm)

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